



FEDERAL RESERVE BANK *of* ST. LOUIS  
CENTRAL TO AMERICA'S ECONOMY®

P.O. Box 442  
St. Louis, MO 63166

**DATE:** January 19, 2022

**TO:** Eighth District Holding Companies

**SUBJECT:** Annual Report of Holding Companies (FR Y-6)

The Federal Reserve System requires the *Annual Report of Holding Companies* (FR Y-6) to be filed by all top-tier holding companies. For multi-tiered holding company organizations, only the top-tier holding company needs to submit the FR Y-6. However, Report Items 3 and 4 must be completed and submitted along with the FR Y-6 for **each** subsidiary holding company. The data are used by supervision and regulation staff to monitor the activities of holding companies and to ensure that their activities are conducted in a safe and sound manner. The FR Y-6 reporting form and instructions are available on the Federal Reserve Board's website at [www.federalreserve.gov](http://www.federalreserve.gov) under Supervision & Regulation, Reporting Forms.

General Instructions of the FR Y-6 Report include:

- *Report Form and Instructions* - No revisions have been made to the FR Y-6 report form and instructions. The FR Y-6 report form has an expiration date of November 30, 2022, which is located in the top right-hand corner of the report. **The most current form must be used when submitting the report.**
- *How to Submit the Report* – A Reporter may submit the FR Y-6 report as a Portable Document Format (PDF) file in **Reporting Central**. For further details, please see the attached letter regarding electronic submission of the FR Y-6 report. Additionally, in response to COVID-19, an operational change has been made to allow for the submission of the FR Y-6 PDF documents via email. If you elect to send your PDF documents via email, please use the appropriate email address as outlined in the section below referencing the submission of the Domestic Branch Listing. **Only one complete report needs to be submitted; however, if you are requesting confidential treatment of the FR Y-6, a confidential version of the report and a public version of the report need to be submitted.**
- *LEI Information* - Please be sure to include **LEI (Legal Entity Identifier) information** (including reporting “N/A” where this is non-applicable) for every entity in Report Item 2(a) of the organization chart. This specific information is required to be reported, as documented in the report instructions, but it is often omitted by institutions.

Report Item 2(b), Domestic Branch Listing:

The Domestic Branch Listing is collected for each depository institution and Edge and agreement corporation in the organization effective December 31, 2020. The Federal Reserve provides a website, <http://structurelists.federalreserve.gov> for respondents to download branch information in a spreadsheet format for review, verification and, if necessary correction. An FR Y-10 report is also necessary to report branch changes.

**Note: A branch verification spreadsheet must accompany each FR Y-6 filing, even if no changes need to be reported. Depository institutions that do not have branches must also include a spreadsheet showing verification of the head office.**

If you plan to submit the **branch verification spreadsheet and any changes** annotated on the spreadsheet via email, please use the appropriate address:

<a href="mailto:STLS.NIC1@stls.frb.org">STLS.NIC1@stls.frb.org</a>	(States of Arkansas and Mississippi)
<a href="mailto:STLS.NIC2@stls.frb.org">STLS.NIC2@stls.frb.org</a>	(States of Missouri and Tennessee)
<a href="mailto:STLS.NIC3@stls.frb.org">STLS.NIC3@stls.frb.org</a>	(States of Indiana and Kentucky)
<a href="mailto:STLS.NIC4@stls.frb.org">STLS.NIC4@stls.frb.org</a>	(State of Illinois)

**Please indicate your institution's name, city, and state in the subject line of your email.** Also, please include a contact name and phone number in the email message for any questions we may have regarding your data.

**SPECIAL  
INSTRUCTIONS:**

Please **do not include personal information with your FR Y-6 submission** unless it is specifically requested in the report form instructions. Examples of information that should **NOT** be disclosed in the FR Y-6, include: personal home street addresses, telephone numbers, social security numbers, bank account numbers or driver's license numbers.

**DUE DATE:**

For both electronic and mailed report submissions, the FR Y-6 report is due at this Reserve Bank no later than 5:00 p.m. on **Thursday, March 31, 2022**. For a mailed report to be considered timely, it must enter the delivery system by the following dates:

First Class Mail:  
**Monday, March 28, 2022**

Overnight Delivery:  
**Wednesday, March 30, 2022**

Please submit your report to the following address:

First Class Mail:  
Federal Reserve Bank of St. Louis  
S&R Records Center  
P.O. Box 442  
St. Louis, MO 63166

Messenger or Overnight:  
Federal Reserve Bank of St. Louis  
S&R Records Center  
1421 Dr. Martin Luther King Drive  
St. Louis, MO 63106-3716

**FURTHER  
INFORMATION:**

If you have any questions, please contact:  
Donna Ashrafzadeh, (314) 444-7463 or (800) 333-0810  
e-mail: [Donna.Ashrafzadeh@stls.frb.org](mailto:Donna.Ashrafzadeh@stls.frb.org)  
Rebecca Roberts, (314) 444-8744 or (800) 333-0810  
e-mail: [Rebecca.Roberts@stls.frb.org](mailto:Rebecca.Roberts@stls.frb.org)

**ENCLOSURES:**

Reporting Central Information  
Report Form  
Instructions  
Sample  
Helpful Hints  
Examples of Personally Identifiable Information (PII)