



FEDERAL RESERVE BANK *of* ST. LOUIS
CENTRAL TO AMERICA'S ECONOMY®

P.O. Box 442
St. Louis, MO 63166

DATE: January 29, 2019
TO: Eighth District Holding Companies
SUBJECT: Annual Report of Holding Companies (FR Y-6)

The Federal Reserve requires the *Annual Report of Holding Companies* (FR Y-6) to be filed by all top-tier holding companies. For multi-tiered holding company organizations, only the top-tier holding company needs to submit the FR Y-6. However, Report Items 3 and 4 must be completed and submitted along with the FR Y-6 for **each** subsidiary holding company. The data are used by supervision and regulation staff to monitor the activities of holding companies and to ensure that their activities are conducted in a safe and sound manner. The FR Y-6 reporting form and instructions are available on the Federal Reserve Board's website at www.federalreserve.gov under Reporting Forms. The revised pages have vertical black lines in the margins to annotate revisions.

Revisions to the FR Y-6 form and instructions include:

- *Report Form and General Instructions: How to Prepare the Report*– The FR Y-6 report form has a new expiration date of November 30, 2019 which is located in the top right-hand corner of the report. The most current form **must** be used when submitting the report.
- *General Instructions: When to Submit the Report* – In lieu of mailing or hand delivering the completed FR Y-6 report, a Reporter may now submit the FR Y-6 report as a Portable Document Format (PDF) file in Reporting Central. For further details, please see the attached letter regarding electronic submission of the FR Y-6 report.

Report Item 2(b), Domestic Branch Listing:

The Domestic Branch listing is collected for each depository institution and Edge and Agreement Corporation in the organization effective December 31, 2018. The Federal Reserve provides a website, <http://structurelists.federalreserve.gov> for respondents to download branch information in a spreadsheet format for review, verification and, if necessary correction.

Note: A branch verification spreadsheet must accompany each FR Y-6 filing, even if no changes need to be reported. Depository institutions that do not own branches must also include a spreadsheet showing verification of the head office.

If you plan to submit the **branch verification spreadsheet and any changes** annotated on the spreadsheet via email, please use the appropriate address:

STLS.NIC1@stls.frb.org	(States of Indiana and Kentucky)
STLS.NIC2@stls.frb.org	(States of Arkansas and Mississippi)
STLS.NIC3@stls.frb.org	(States of Missouri and Tennessee)
STLS.NIC4@stls.frb.org	(State of Illinois)

Please indicate your institution's name, city and state in the subject line of your email. Also, please include a contact name and phone number in the email message for any questions we may have regarding your data. You may also mail a hardcopy version of the annotated branch listing if submitting the FR Y-6 report by mail.

**SPECIAL
INSTRUCTIONS:**

Please **do not include personal information with your FR Y-6 submission** unless it is specifically requested in the report form instructions. Examples of information that should **NOT** be included in the FR Y-6, include: personal home street addresses, telephone numbers, social security numbers, bank account numbers or driver's license numbers.

DUE DATE:

For both electronic and mailed report submissions, the FR Y-6 report is due at this Reserve Bank no later than 5:00 p.m. on **Monday, April 1, 2019**. For a mailed report to be considered timely, it must enter the delivery system by the following dates:

<u>First Class Mail:</u> Wednesday, Mar. 27, 2019	<u>Overnight Delivery:</u> Friday, Mar. 29, 2019
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Please submit your report to the following address:

<u>First Class Mail:</u>	<u>Messenger or Overnight:</u>
Federal Reserve Bank of St. Louis BS&R Records Center P.O. Box 442 St. Louis, MO 63166	Federal Reserve Bank of St. Louis BS&R Records Center 1421 Dr. Martin Luther King Drive St. Louis, MO 63106-3716

**FURTHER
INFORMATION:**

Sondra Grice, (800) 333-0810, ext. 444-8342, or (314) 444-8342
e-mail: Sondra.Grice@stls.frb.org
Donna Ashrafzadeh, (800) 333-0810, ext. 444- 7463, or (314) 444-7463
e-mail: Donna.Ashrafzadeh@stls.frb.org

ENCLOSURES:

Reporting Central Information
Report Form
Instructions
Sample
Helpful Hints
Examples of Personally Identifiable Information (PII)