

# FORM A

## Federal Reserve Bank of St. Louis

### FINANCIAL DISCLOSURE REPORT

Reporting Status (Check Appropriate Box) <input type="checkbox"/> Incumbent <input checked="" type="checkbox"/> New Filer	[For incumbent filers] Calendar Year Covered By Report	Date of Appointment  July 13, 2023	<u>Reporting Periods</u>  Incumbents: The reporting period is the preceding calendar year except with regard to Part II of Schedule C and Schedule D where you must also include the filing year up to the date you file.  New Filers: Schedule A-The reporting period for income is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date that is less than 31 days before the date of filing.  Schedule B-Not applicable.  Schedule C, Part I (Liabilities)-The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date filing.  Schedule C, Part II (Agreement or Arrangement)-Show any agreement or arrangement as of the date of filing.  Schedule D, Part I (Outside Positions)-The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.  Schedule D, Part II (Other Situations)-The reporting period is as of the date of filing.
Last Name  O'Neill Paese		First Name and Middle Initial  Kathy	
Position  Interim President and CEO, First Vice President and Chief Operating Officer			
Certification  I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Signature of Reporting Individual  	Date  August 18, 2023	
Reserve Bank Ethics Officer's Review  On the basis of information contained in this report and in consultation with the Board's Designated Agency Ethics Official, I conclude that the filer is in compliance with applicable ethics laws and policies.	Signature of Reserve Bank Ethics Officer  <div style="display: flex; align-items: center;"> <div style="margin-right: 10px;"> <b>Jane Batjer - NRAS User</b> </div> <div>        Digitally signed by Jane Batjer - NRAS User Date: 2023.08.19 23:33:27 -05'00'     </div> </div>	Date  	
Board Designated Agency Ethics Official's Review  On the basis of information contained in this report and in consultation with the Reserve Bank Ethics Officer, I conclude that the filer is in compliance with applicable ethics laws and policies.	Signature of Board Designated Agency Ethics Official  <div style="display: flex; align-items: center;"> <div style="margin-right: 10px;"> <b>SEAN CROSTON</b> </div> <div>        Digitally signed by SEAN CROSTON Date: 2023.08.21 08:42:00 -04'00'     </div> </div>	Date  	
Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet)			
<div style="display: flex; justify-content: space-between;"> <span>You may use a separate page if you need additional space to complete this Form A or any of its Schedules.</span> <span>Check box if comments are continued on the reverse side) <input type="checkbox"/></span> </div>			

Reporting Individual's Name Kathy O'Neill Paese			SCHEDULE A											Calendar Year Covered 2022		Page Number					
Assets and Income  BLOCK A			Value of Assets at close of reporting period  BLOCK B						Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.  BLOCK C												
Identify each asset held for the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period.  See the instructions for special rule for reporting an interest in a depository institution and other entities regardless of value.  Identify each asset or source of income which generated over \$200 in income during the reporting period.  None <input type="checkbox"/>			O W N E R	None (or less than \$1,001)	\$1,001 - \$50,000	\$50,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$100,000	Over \$1,000,000	Type						Amount				Date (Mo., Day, Yr.)  Only if Honoraria	
										Dividends	Rent and Royalties	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000		\$25,001 - \$150,000
Examples:	Central Airlines Common	S		X					X												
	Doe Jones & Smith, Hometown, USA	E												Law Partnership Income							
	Kempstone Equity Fund	E																			
1	See attached																				
2																					
3																					
4																					
5																					
6																					
7																					
8																					
9																					
10																					
11																					

Kathy O'Neill Paese

SCHEDULE A - Page 1 of 2

Calendar Year Covered: 01/01/2022 - 12/31/2022

Block A							Block B							Block C Type							Block C Amount						
Asset	Owner	<\$1k	\$1K-\$50k	\$50k-\$250k	\$250k-\$500k	\$500k-\$1,000k	\$1,000k <	Dividends	Rent and Royalties	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Trust	Other	<\$200	\$200-\$1k	\$1k-25k	\$25k-50k	\$50k-\$150k	\$500k <	Date					
AMERICAN BEACON AHL TARGET RISK CL Y	S			X				X			X	X					X										
BLACKROCK SYSTEMATIC MULTI-STRATEGY INSTL	E		X					X			X	X					X										
BLACKROCK SYSTEMATIC MULTI-STRATEGY INSTL	J		X					X			X	X						X									
BLACKROCK SYSTEMATIC MULTI-STRATEGY INSTL	S		X					X			X	X					X										
BLACKROCK STRATEGIC INCOME OPPRTSI INSTL	S		X					X			X	X						X									
ISHARES TRUST MSCI EMG MKTS ETF USD DIS	E		X					X				X				X											
ISHARES TR EAFE GRWTH ETF	J			X				X				X						X									
ISHARES INC ESG AWR MSCI EM	J			X				X				X						X									
ISHARES TR ESG AWARE MSCI USA ETF	J			X				X				X						X									
FIDELITY GOVERNMENT CASH RESERVES	E			X				X				X						X									
FIDELITY GOVERNMENT CASH RESERVES	J				X			X				X						X									
FIDELITY GOVERNMENT CASH RESERVES	S			X				X				X					X										
JPMORGAN GLOBAL BOND OPPORTUNITIES CL I	S		X					X			X	X					X										
ISHARES TRUST CORE MSCI EAFE ETF	E		X					X				X					X										
ISHARES TRUST CORE MSCI EAFE ETF	J		X					X				X						X									
ISHARES TRUST CORE MSCI EAFE ETF	S			X				X				X						X									
ISHARES TRUST MSCI EMG MKTS ETF USD DIS	S		X					X				X					X										
ISHARES CORE S&P 500 ETF	J			X				X				X						X									
ISHARES RUSSELL 1000 VALUE ETF	S			X				X				X						X									
ISHARES TR RUS 1000 GRW ETF	J		X					X				X					X										
ISHARES TR RUS 1000 GRW ETF	S			X				X				X					X										
ISHARES TR RUS MID CAP ETF	E		X					X				X					X										
ISHARES TR RUS MID CAP ETF	J			X				X				X						X									

Kathy O'Neill Paese

SCHEDULE A - Page 2 of 2

Calendar Year Covered: 01/01/2022 - 12/31/2022

Block A	Block B							Block C Type							Block C Amount							
Asset	Owner	<\$1k	\$1K-\$50k	\$50k-\$250k	\$250k-\$500k	\$500k-\$1,000k	\$1,000k <	Dividends	Rent and Royalties	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Trust	Other	<\$200	\$200-\$1k	\$1k-25k	\$25k-50k	\$50k-\$150k	\$500k <	Date
ISHARES TR RUS MID CAP ETF	S			X				X				X					X					
ISHARES S&P GLOBAL TECHNOLOGY SECTOR INDEX FUND	J		X					X				X					X					
J P MORGAN EXCHANGE TRADED FD SHORT DURA CORE	S		X					X				X					X					
LORD ABBETT FLOATING RATE FUND I	S		X					X				X						X				
THORNBURG LTD TERM MUNICIPAL FUND CL I	E		X					X				X					X					
THORNBURG LTD TERM MUNICIPAL FUND CL I	J			X				X				X						X				
MAINSTAY MACKAY HIGH YIELD MUNI BD CL I	E		X					X				X						X				
MAINSTAY MACKAY HIGH YIELD MUNI BD CL I	J			X				X				X						X				
MAINSTAY MACKAY TAX FREE BOND CLASS I	J			X				X				X						X				
NEWFOUND RISK MANAGD US SECTORS FD CL I	S		X					X				X				X						
NAVIGATOR TACTICAL FIXED INCOME CL I	S		X					X				X					X					
RATIONAL/RESOLVE ADPTVE AST ALLOC I	S			X				X				X					X					
TAX-MANAGED US LARGE CAP CL M	E				X			X				X						X				
SPDR SER TR S&P 600 SML CAP	E		X					X				X					X					
SPDR SER TR S&P 600 SML CAP	J			X				X				X						X				
SPDR SER TR S&P 600 SML CAP	S			X				X				X					X					
SIMPLIFY EXCHANGE TRADED FUNDS US EQT PLS DWNSD	S		X					X				X						X				
AMERICAN BEACON TWENTYFOUR STR INC Y	S		X					X				X						X				
VANGUARD SCOTTSDALE FDS VANGUARD RUSS1000 VALUE IDX FD ETF	S	X						X				X				X						

Block A		Block B							Block C Type							Block C Amount						
Asset	Owner	<\$1k	\$1K-\$50k	\$50k-\$250k	\$250k-\$500k	\$500k-\$1,000k	\$1,000k <	Dividends	Rent and Royalties	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Trust	Other	<\$200	\$200-\$1k	\$1k-25k	\$25k-50k	\$50k-\$150k	\$500k <	Date
BLACKROCK SYSTEMATIC MULTI-STRATEGY INSTL	E		X					X			X	X					X					
ISHARES TRUST MSCI EMG MKTS ETF USD DIS	E		X					X				X				X						
FIDELITY GOVERNMENT CASH RESERVES	E			X				X				X						X				
ISHARES TRUST CORE MSCI EAFE ETF	E		X					X				X					X					
ISHARES TR RUS MID CAP ETF	E		X					X				X					X					
THORNBURG LTD TERM MUNICIPAL FUND CL I	E		X					X				X					X					
MAINSTAY MACKAY HIGH YIELD MUNI BD CL I	E		X					X				X						X				
TAX-MANAGED US LARGE CAP CL M	E				X			X				X						X				
SPDR PORTFOLIO S&P 600 SMALL CAP ETF	E		X					X				X				X						
BLACKROCK SYSTEMATIC MULTI-STRATEGY INSTL	J		X					X			X	X						X				
ISHARES TR EAFE GRWTH ETF	J			X				X				X						X				
ISHARES INC ESG AWR MSCI EM	J			X				X				X						X				
ISHARES TR ESG AWARE MSCI USA ETF	J			X				X				X						X				
FIDELITY GOVERNMENT CASH RESERVES	J		X					X				X						X				
FIMM MONEY MARKET PORTFOLIO: CL I	J				X			X				X						X				
ISHARES TRUST CORE MSCI EAFE ETF	J			X				X				X						X				
ISHARES CORE S&P 500 ETF	J				X			X				X						X				
ISHARES TR RUS 1000 GRW ETF	J		X					X				X				X						
ISHARES TR RUS MID CAP ETF	J			X				X				X						X				
ISHARES S&P GLOBAL TECHNOLOGY SECTOR INDEX FUND	J			X				X				X					X					
THORNBURG LTD TERM MUNICIPAL FUND CL I	J			X				X				X						X				

Block A	Block B							Block C Type							Block C Amount							
Asset	Owner	<\$1k	\$1K-\$50k	\$50k-\$250k	\$250k-\$500k	\$500k-\$1,000k	\$1,000k <	Dividends	Rent and Royalties	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Trust	Other	<\$200	\$200-\$1k	\$1k-25k	\$25k-50k	\$50k-\$150k	\$500k <	Date
MAINSTAY MACKAY HIGH YIELD MUNI BD CL I	J			X				X				X						X				
MAINSTAY MACKAY TAX FREE BOND CLASS I	J			X				X				X						X				
SPDR PORTFOLIO S&P 600 SMALL CAP ETF	J			X				X				X				X						
AMERICAN BEACON AHL TARGET RISK CL Y	S			X				X			X	X					X					
BLACKROCK SYSTEMATIC MULTI-STRATEGY INSTL	S		X					X			X	X					X					
BLACKROCK STRATEGIC INCOME OPPRTSI INSTL	S		X					X			X	X						X				
FIDELITY GOVERNMENT CASH RESERVES	S			X				X				X					X					
JPMORGAN GLOBAL BOND OPPORTUNITIES CL I	S		X					X			X	X					X					
ISHARES TRUST CORE MSCI EAFE ETF	S			X				X				X						X				
ISHARES INC CORE MSCI EMERGING MKTS ETF	S		X					X				X					X					
ISHARES RUSSELL 1000 VALUE ETF	S			X				X				X						X				
ISHARES TR RUS 1000 GRW ETF	S			X				X				X					X					
ISHARES TR RUS MID CAP ETF	S			X				X				X					X					
J P MORGAN EXCHANGE TRADED FD SHORT DURA CORE	S		X					X				X					X					
LORD ABBETT FLOATING RATE FUND I	S		X					X				X						X				
NEWFOUND RISK MANAGD US SECTORS FD CL I	S		X					X				X				X						
NAVIGATOR TACTICAL FIXED INCOME CL I	S		X					X				X					X					
RATIONAL/RESOLVE ADPTVE AST ALLOC I	S			X				X				X					X					
SIMPLIFY EXCHANGE TRADED FUNDS US EQT PLS DWNSD	S		X					X				X						X				
SPDR PORTFOLIO S&P 600 SMALL CAP ETF	S			X				X				X				X						
AMERICAN BEACON TWENTYFOUR STR INC Y	S		X					X				X						X				
VANGUARD SCOTTSDALE FDS VANGUARD RUSS1000 VALUE IDX FD ETF	S	X						X				X				X						

Part I: Transactions

Report any purchase, sale or exchange by you, your spouse or dependent child during the reporting period of any real estate, stocks, bonds, commodity futures and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving real estate used solely as your personal residence (unless rented out), or a transaction solely between you, your spouse or dependent child. Check the “Required divestiture” block to indicate sales made pursuant to a divestiture required by the Bank

None ☐

Identification of Assets		O W N E R	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)					Required Divestiture
			Purchase	Sale	Exchange		\$1,001 – \$50,000	\$50,001 – \$250,000	\$250,001 – \$500,000	\$500,001 – \$1,000,000	Over \$1,000,000	
Examples:	Central Airlines Common	S	x			2/1/93	x					
1												
2												
3												
4												
5												
6												
7												
8												
9												

Part II: Gifts											
To the extent not previously reported in writing, report the source, a brief description, and the value of any gifts, as defined in the instructions, received by you, your spouse or dependent child from one source and totaling \$250 or more. Exclude gifts from						relative, gifts valued at \$100 or less when aggregating gifts for the total from one source, and gifts received by your spouse or dependent child that were given totally independent of the relationship to you. See the instructions for further exclusions.					
Source (Name and Address)						Brief Description					Value
1											
2											
3											

Part I: Liabilities				None <input type="checkbox"/>		Category of Amount or Value (x)											
Report any liability owed during the reporting period to any of the following by you, your spouse or dependent child: a bank, credit union, savings and loan association, savings bank, trust company, bank holding company, thrift holding company, an affiliate or subsidiary of any of the foregoing, and any entity which, to your knowledge, does or seeks to do business with the Bank. See the instructions for certain exclusions.						D E B T O R	ID-RSSD	0 –	\$50,000	\$50,0001	\$150,000	\$150,001	\$500,000	\$500,001	\$1,000,000	Over	\$1,000,000
Creditor (Name and Address)				Type of Liability													
Example:		First District Bank, Washington, DC		Mortgage on rental property, Delaware		J			x								
1	Fidelity, St. Louis, MO			Mortgage on residence		J		X									
2																	
3																	
4																	
5																	
6																	
7																	

To your knowledge, were any of the foregoing extensions of credit made on terms more favorable than offered to a person not employed by the Bank? ☐ Yes ☐ No If so, explain.

Part II: Agreements or Arrangements			
Report any agreement or arrangement for future employment, leave of absence from or continuation of payment by a former employer (including severance payments), or continuing participation in an employee benefit plan. This part applies only to the person filing the report. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.		None <input checked="" type="checkbox"/>	
Status and Terms of any Agreement or Arrangement		Parties	Date
Example:	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 11/93 and retained pension benefits (independently managed, fully funded, defined contribution plan)	Doe Jones & Smith, Hometown, USA	7/85
1			
2			
3			



Reporting Individual's Name		SCHEDULE D			Calendar Year Covered	Page Number
Kathy O'Neill Paese					2022	
<div>Part I: Positions Held Outside the Federal Reserve Bank<div>None <input type="checkbox"/></div></div> <div>Report any position held by you during the reporting period, whether compensated or not. A position includes but is not limited to employee, officer, owner, director, trustee, partner, advisor or consultant of any corporation, firm partnership or other business enterprise, or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal or political entities, and those solely of an honorary nature. Also report any position held by your spouse, a child, parent or sibling with a bank, credit union, savings and loan association, savings bank, trust company, bank holding company, thrift holding company, primary government securities dealer, an affiliate or subsidiary of any of the foregoing, and any entity which, to your knowledge, does or seeks to do business with the Bank.</div>						
Organization (Name and Address)		Holder	Type of Organization	Position	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Mo. Bar Assoc., Jefferson City, MO	E	Professional	Director	9/93	Present
	ABC Bank, Hometown, USA	Brother	Bank	Loan Officer	7/85	Present
1	Webster University	E	Educational Institution	Trustee	8/22 TO PRESENT	
2						
3						
4						
5						
6						
7						
8						
9						
10						
<div>Part II: Other Situations</div> <div>Describe any other relationship or circumstances that you believe might constitute an actual or apparent conflict of interest or violation of law or Bank policy. Provide all relevant information.</div>						
N/A						