



IESUB

Internet Electronic Submission of Statistical and Regulatory Reports to the Federal Reserve Bank of St. Louis

IESUB contacts:

Bank Holding Company and Bank Credit reports (i.e. FR Y reports, FR2644)	Deposits and other statistical reports (i.e. FR 2900, FR 2415)
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Overview

The Internet can provide you with a fast, simple, secure and cost-effective way to submit reports. Data submitted will be validated for mathematical and logical consistency and an immediate confirmation of receipt will be returned which can be printed for your records. All communications will be protected by a password, server certification and 128-bit encryption.

Requirements

To submit your reports to the Federal Reserve Bank of St. Louis using the Internet you must:

- **Agree to the Terms of Use for Internet reporting** – This information will be provided to you in a hard copy and on the Federal Reserve System’s website.
- **Have access to the Internet** – You must have a connection to the Internet which supports the World Wide Web (WWW). Your connection must not block or disable JavaScript.
- **Have a web browser program** – You must have the **domestic** version of a web browser. (Either Netscape Communicator 4.08 or higher, or Microsoft Internet Explorer 4.0 or higher.) Domestic versions are needed to support the 128-bit Secure Sockets Layer (SSL) encryption that is used for all communication between you and the Federal Reserve System’s website.

Determining if the browser is domestic:

- **Netscape:**
 1. From the “Help” menu, select “About Communicator.”
 2. In the column under RSA Data Security, Inc., look for “This version supports U.S. Security.”
- **Internet Explorer:**
 1. From the “Help” menu, select “About Internet Explorer.”
 2. The Cipher Strength must be 128 bits.

Any personal computer (PC) and operating system capable of running the required browser software is acceptable. The PC does not need to be used solely for the purpose of submitting statistical reports via the Internet.

Security

To ensure the confidentiality of your data and the authenticity of the reporter, the system uses:

- A unique user-id and password which you control. If a password is forgotten, a replacement password can be issued by contacting the one of the individuals listed on the front page.
- 128-bit SSL encryption; and
- Server-side digital certification.

Terms of Use

By using the Internet Electronic Submission (IESUB) System you are agreeing to follow the provisions of [Federal Reserve Operating Circular 5](#) regarding electronic access to Federal Reserve services, as well as the following terms:

- a) You must use a single electronic connection for each type of report unless you are unable to do so and you notify the Federal Reserve prior to submitting data by other means. If the electronic submission facility is not available for any reason, you remain responsible for submitting reports by established deadlines via other means.
- b) You shall maintain a hard copy of all required statistical or other information that you submit electronically. The copy shall contain an official signature certifying that the information contained therein is correct. The copy shall be retained for at least five years, subject to inspection by us, by your primary regulator, and (for Treasury reports) by the U.S. Treasury Department. You warrant that the report submitted electronically contains the same data as the hard copy you are retaining.
- c) You shall participate in any tests the Federal Reserve specifies prior to live transmission, and thereafter as the Federal Reserve may require.
- d) You shall obtain prior approval from the Federal Reserve before you revise any data that you have previously submitted. Depending on the volume and dates of the revisions, the Federal Reserve may require the revisions to be submitted by non-electronic means.
- e) With respect to data submitted over the Internet, you assume the entire risk of any loss of confidentiality of the data, whether or not any security procedures were used, and you assume all responsibility for any delays in transmission or corruption of the data while in transmission.

Submitting Reports

IESUB offers you three different methods for submitting your reports to the Federal Reserve.

Data Entry – The web browser will display an electronic report which you can easily complete on-line. This option gives you the ability to view up to a year's worth of reports that have been submitted via IESUB.

Spreadsheet File Transfer – Report data that exists in a spreadsheet (e.g. Microsoft Excel or Lotus 123) format can be transferred onto an electronic report. As with data entry, you can also retrieve previous IESUB reports. Refer to the *IESUB Spreadsheet File Transfer User Guide* for exact file specifications.

STAT Data File Transfer (a.k.a. "Bulkdata File Transfer") – Most vendor software can save your reports into a Federal Reserve STAT data file which you can submit via IESUB. Since IESUB merely serves as a transfer mechanism, such reports cannot be retrieved in the future.

Available Reports

Report	Internet Via Data Entry	Internet Via Spreadsheet File Transfer	Internet Via Bulkdata File Transfer
FFIEC 002 – Report of Assets and Liabilities of U.S. Branches and Agencies of Foreign Banks			✓
FFIEC 002S – Report of Assets and Liabilities of a U.S. Branch That Is Managed or Controlled by U.S. Branch or Agency of a Foreign Bank			✓
FR 2004 A/B/C/WI/SI – Weekly Report of Dealer Position Transactions and Financing/When Issued/Selected Issues	✓	✓	
FR 2028a/s – Survey of Terms of Business Lending/Prime Rate Supplement			✓
FR 2028b/s – Survey of Terms of Bank Lending to Farmers/Prime Rate Supplement			✓
FR 2069 – Weekly Report of Assets and Liabilities for Large U.S. Branches and Agencies of Foreign Banks	✓	✓	
FR 2415 – Repurchase Agreements (RPs) on U.S. Government and Federal Agency Securities with Specified Holders (Weekly, Quarterly and Annual Reports)	✓	✓	
FR 2416 – Weekly Report of Assets and Liabilities for Large Banks	✓	✓	
FR 2644 – Weekly Report of Selected Assets	✓	✓	
FR 2900 – Report of Transaction Accounts, Other Deposits (Weekly and Quarterly Reports)	✓	✓	✓
FR 2915 – Report of Foreign (Non-U.S.) Currency Denominated Deposits	✓	✓	
FR Y-7N – Financial Statements of U.S. Nonbank Subsidiaries Held by Foreign Banking Organizations			✓
FR Y-8 – The Bank Holding Company Report of Insured Depository Institutions' Section 23A Transactions with Affiliates	✓	✓	✓
FR Y-9C – Consolidated Financial Statements for Bank Holding Companies	✓	✓	✓
FR Y-9ES – Financial Statements for Employee Stock Ownership Plan Bank Holding Companies	✓	✓	✓
FR Y-9LP – Parent Company Only Financial Statements for Large Bank Holding Companies	✓	✓	✓
FR Y-9SP – Parent Company Only Financial Statements for Small Bank Holding Companies	✓	✓	✓
FR Y-11 – Financial Statements of U.S. Nonbank Subsidiaries of U.S. Bank Holding Companies			✓
FR Y-11S – Abbreviated Financial Statements of U.S. Nonbank Subsidiaries of U.S. Bank Holding Companies	✓	✓	✓
FR Y-12 – Consolidated Bank Holding Company Report of Equity Investments in Nonfinancial Companies	✓	✓	
TFC – Treasury Foreign Currency Reports (TFC FC-1, TFC FC-2, & TFC FC-3)	✓	✓	
TIC – Treasury International Capital Reports (TIC BC, TIC BC (SA), TIC BL-1, TIC BL-1(SA), TIC BL-2, TIC BL-2(SA), TIC BQ-1, TIC BQ-2, TIC BQ-3, TIC CQ-1, TIC CQ-2, TIC D & TIC S (S1&S2))	✓	✓	