

The Federal Reserve will implement changes to the Report of Changes in Organizational Structure (FR Y-10) and the Annual Report of Bank Holding Companies (FR Y-6), effective December 31, 2008. These reporting changes were announced in the Federal Register on August 20, 2008 (73 FR 49204).

The revised FR Y-10 and FR Y-6 forms and instructions will be moved at the end of December from the "Recently Approved and Pending Implementation" category of the Board's public web site at: <http://www.federalreserve.gov/reportforms/review.cfm> to the current list of reporting forms, which may be accessed by selecting the "Forms by number" pull-down menu.

A summary of the modifications for each report is provided below:

Summary of Changes to the FR Y-10, effective December 31, 2008

Reporting Form

Banking and Nonbanking Schedules

- The Federal Reserve has added a data item to collect tax identification number (Tax ID) for entities located in the United States.
- There will be a **one-time information collection to populate the Tax ID data as of December 31, 2008**. Institutions should submit this information **no later than March 31, 2009, initially**. Changes to the data that occur between January 1 and March 31, 2009, should be reported by April 30, 2009. Changes to the data that occur after March 31, 2009, should be reported within 30 days on an event-generated basis, parallel to the other schedules on the FR Y-10.
- The Federal Reserve provides a website for institutions to submit their initial Tax ID data. Please access the following website, which will be available January 8, 2009 for more information on the process <http://structurelists.federalreserve.gov>
- For subsequent event generated changes, the FR Y-10 may be submitted electronically using the FR Y-10 Online application. Institutions that have not registered to use FR Y-10 Online are encouraged to do so. A copy of the FR Y-10 Online user account request form is available on the introductory page of the application at: <https://y10online.federalreserve.gov>

Summary of Changes to the FR Y-6, effective December 31, 2008

- The Federal Reserve has added a certification requirement to the FR Y-6 reporting forms and has made comparable changes to the instructions related to information regarding individuals contained in the submission. The Federal Reserve wants to avoid releases of sensitive personally identifying information regarding individuals as copies of the FR Y-6 are frequently requested by members of the public. The FR Y-6 reporting form collects details on individual shareholders and insiders of reporters. Reporters occasionally submit more information than is required (for example, personal home addresses, social security numbers, and extraneous commercial and financial information), and some of the details provided can be highly sensitive.
- Report Item 2: Organization Chart, Item 2b. Domestic Branch Listing will continue to be collected for each depository institution and Edge and agreement corporations in the

organization effective December 31, 2008. The Federal Reserve provides a website, <http://structurelists.federalreserve.gov> for respondents to download branch information in spreadsheet format for review, verification and, if necessary correction.

- Verified FR Y-6 branch information spreadsheets and the initial collection of FR Y-10 Tax ID information may be emailed to:

STLS.NIC1@stls.frb.org

(States of Arkansas, Indiana and Mississippi)

STLS.NIC2@stls.frb.org

(States of Illinois and Kentucky)

STLS.NIC3@stls.frb.org

(States of Missouri and Tennessee)

- You may also mail a hardcopy version of the branch list spreadsheet with your FR Y-6 report. **NOTE:** *A branch verification spreadsheet must accompany each FR Y-6 filing, even if no changes need to be reported or for depository institutions that do not own any branches.*