

# FORM A

## Federal Reserve Bank of St. Louis

### FINANCIAL DISCLOSURE REPORT

Reporting Status <input checked="" type="checkbox"/> Incumbent (Check Appropriate Box) <input type="checkbox"/> New Filer	[For incumbent filers] Calendar Year Covered By Report  January 1, 2023 – July 13, 2023	Date of Appointment  April 1, 2008	<p style="text-align: center;"><u>Reporting Periods</u></p> <p>Incumbents: The reporting period is the preceding calendar year except with regard to Part II of Schedule C and Schedule D where you must also include the filing year up to the date you file.</p> <p>New Filers:          Schedule A-The reporting period for income is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date that is less than 31 days before the date of filing.</p> <p>Schedule B-Not applicable.</p> <p>Schedule C, Part I (Liabilities)-The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date filing.</p> <p>Schedule C, Part II (Agreement or Arrangement)-Show any agreement or arrangement as of the date of filing.</p> <p>Schedule D, Part I (Outside Positions)-The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.</p> <p>Schedule D, Part II (Other Situations)-The reporting period is as of the date of filing.</p>
Last Name		First Name and Middle Initial	
Bullard		James B.	
Position			
President and CEO			
Certification	Signature	Date	
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[Redacted Signature]	9 August 2023	
Reserve Bank Ethics Officer's Review	Signature of Reserve Bank <del>Ethics Officer</del> General Counsel	Date	
On the basis of information contained in this report and in consultation with the Board's Designated Agency Ethics Official, I conclude that the filer is in compliance with applicable ethics laws and policies.	[Redacted Signature]	Aug 9, 2023	
Board Designated Agency Ethics Official's Review	Signature of Board Designated Agency Ethics Official	Date	
On the basis of information contained in this report and in consultation with the Reserve Bank Ethics Officer, I conclude that the filer is in compliance with applicable ethics laws and policies.	<div style="font-size: 2em; font-weight: bold; margin: 0;">SEAN CROSTON</div> <div style="font-size: 0.8em; margin: 0;">Digitally signed by SEAN CROSTON Date: 2023.08.11 13:45:13 -04'00'</div>		
Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet)			

You may use a separate page if you need additional space to complete this Form A or any of its Schedules.

Check box if comments are continued on the reverse side

**SCHEDULE A**

BLOCK A Assets and Income		BLOCK B Value of Assets at close of reporting period						BLOCK C Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.													
		OWNER	None (or less than \$1,001)	\$1,001 - \$50,000	\$50,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000	Type						Amount				Date (Mo., Day, Yr.)  Only if Honoraria		
Dividends	Rent and Royalties								Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$25,001 - \$150,000	\$150,001 - \$500,000		Over \$500,000	
Examples:	Central Airlines Common	S	X					X													
	Doe Jones & Smith, Hometown, USA	E												Law Partnership Income							
	Kempstone Equity Fund	E																			
1	Inherited IRA :																				
2	AT&T	E	✓							✓				✓							
3	Atrion Group	E	✓							✓					✓						
4	XEL Energy	E	✓							✓					✓						
5	Alliance Bernstein	E	✓							✓				✓							
6	Franklin Income A&C	E	✓							✓					✓						
7	Gebell. Equity C	E	✓							✓				✓							
8	Ivy Income C	E	✓							✓					✓						
9	Piaco RAE+ C	E	✓							✓				✓							
10	Thornburg Inv. Builder C	E	✓							✓				✓							
11	Inhares IR Select	E	✓							✓					✓						
	Cash	E	✓							✓				✓							

### Part I: Transactions

Report any purchase, sale or exchange by you, your spouse or dependent child during the reporting period of any real estate, stocks, bonds, commodity futures and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction

involving real estate used solely as your personal residence (unless rented out), or a transaction solely between you, your spouse or dependent child. Check the "Required divestiture" block to indicate sales made pursuant to a divestiture required by the Bank

None

Identification of Assets		O W N E R	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)						Required Divestiture
			Purchase	Sale	Exchange		\$1,001 - \$50,000	\$50,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000		
Examples:	Central Airlines Common	S	x			2/1/93	x						
1													
2													
3													
4													
5													
6													
7													
8													
9													

### Part II: Gifts

To the extent not previously reported in writing, report the source, a brief description, and the value of any gifts, as defined in the instructions, received by you, your spouse or dependent child from one source and totaling \$250 or more. Exclude gifts from

relative, gifts valued at \$100 or less when aggregating gifts for the total from one source, and gifts received by your spouse or dependent child that were given totally independent of the relationship to you. See the instructions for further exclusions.

None

Source (Name and Address)		Brief Description	Value
1			
2			
3			

**Part I: Liabilities** None

Report any liability owed during the reporting period to any of the following by you, your spouse or dependent child: a bank, credit union, savings and loan association, savings bank, trust company, bank holding company, thrift holding company, an affiliate or subsidiary of any of the foregoing, and any entity which, to your knowledge, does or seeks to do business with the Bank. See the instructions for certain exclusions.

Creditor (Name and Address)	Type of Liability	D E B T O R	ID-RSSD	Category of Amount or Value (x)					
				0 - \$50,000	\$50,0001	\$150,000	\$150,001	\$500,000	\$500,001
<i>Example:</i> First District Bank, Washington, DC	Mortgage on rental property, Delaware	J			x				
1 <i>Commerce Bank</i>	<i>Mortgage</i>	<i>EFS</i>							<input checked="" type="checkbox"/>
2 <i>Kansas City, MO</i>									
3									
4									
5									
6									
7									

To your knowledge, were any of the foregoing extensions of credit made on terms more favorable than offered to a person not employed by the Bank?  Yes  No If so, explain.

**Part II: Agreements or Arrangements**

Report any agreement or arrangement for future employment, leave of absence from or continuation of payment by a former employer (including severance payments), or continuing participation in an employee benefit plan. This part applies only to the person filing the report. See instructions regarding the reporting of negotiations for any of these arrangements or benefits. None

Status and Terms of any Agreement or Arrangement	Parties	Date
<i>Example:</i> Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 11/93 and retained pension benefits (independently managed, fully funded, defined contribution plan)	Doe Jones & Smith, Hometown, USA	7/85
1 <i>Accepted employment with Purdue University</i>	<i>Purdue University</i>	<i>8/2023</i>
2	<i>West Lafayette, IN</i>	
3		

Reporting Individual's Name <b>James B. Bullard</b>	<b>SCHEDULE D</b>	Calendar Year Covered <b>1/1/2023 - 7/13/2023</b>	Page Number
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**Part I: Positions Held Outside the Federal Reserve Bank** None

Report any position held by you during the reporting period, whether compensated or not. A position includes but is not limited to employee, officer, owner, director, trustee, partner, advisor or consultant of any corporation, firm partnership or other business enterprise, or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal or political entities, and those solely of an honorary nature. Also report any position held by your spouse, a child, parent or sibling with a bank, credit union, savings and loan association, savings bank, trust company, bank holding company, thrift holding company, primary government securities dealer, an affiliate or subsidiary of any of the foregoing, and any entity which, to your knowledge, does or seeks to do business with the Bank.

	Organization (Name and Address)	Holder	Type of Organization	Position	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Mo. Bar Assoc., Jefferson City, MO	E	Professional	Director	9/93	Present
	ABC Bank, Hometown, USA	Brother	Bank	Loan Officer	7/85	Present
1	Olin School of Business					
2	Center for Acct. & Fin. Resrch	E	Non-profit / Ed-	Advisory Council	2010 - present	
3	Wash. U. Dept. of Econ.	E	Non-profit / Ed-	Advisory Council	2010 - present	
4	Concordance Academy	E	Non-profit	Board of Trustees	2019 - present	
5	Greater STL	E	Non-profit	Exec. Council	2022 - present	
6						
7						
8						
9						
10						

**Part II: Other Situations**

Describe any other relationship or circumstances that you believe might constitute an actual or apparent conflict of interest or violation of law or Bank policy. Provide all relevant information.

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